



**Corporate Risk Register 2023/24 Q1**


<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b>1. Change Management</b> <b><u>Headline risk</u></b> Organisational cultures and other barriers impact on ability to manage and implement change effectively.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>Ineffective employee engagement and buy-in to new delivery models affects delivery objectives as people do not have the right skills to deliver project outcomes resulting in a demotivated workforce.</li> <li>Insufficient capacity, skills and expertise to deliver reform programmes, successfully establish traded services and complex change, resulting in further pressure on existing services and increased costs.</li> </ul>	<p>Corporate Leadership Team  (Gill Lawton/ Rachel Copley)</p>	<ul style="list-style-type: none"> <li>4 core values that run through everything we do and are known as the Stockport way of doing things. As an organisation we stay true to them no matter what challenges we face.</li> <li>Development of our council transformation programme, across four key areas:               <ol style="list-style-type: none"> <li>We are a Council that is Built around our Communities.</li> <li>We are a Council that maximises the opportunities of Digital.</li> <li>We are a Council that values Partnerships and Collaboration.</li> <li>We are a Council that cares about our staff and engages them in the future.</li> </ol> </li> <li>Transformation steering group which brings closer links across colleagues who are enabling</li> </ul>	<p align="center"><b>L3x I2</b> <b>Medium</b></p>  <p align="center"><b>Continued pressures on the Council and its workforce bring risk to significant change programmes.</b></p>	<ul style="list-style-type: none"> <li>Communities of Practice established to embed shared learning; standardised approaches to change management, peer to peer support and to strengthen governance in all areas of the Council undergoing change.</li> <li>Significant pressures across the organisation – balancing ongoing pandemic recovery, MTFP, responding to key national and regional policy announcements, delivery of local ambitions and priorities.</li> <li>Regular change and MTFP updates provided to CLT. Directorate BRMs also responsible for regular DLT updates on change (inc. systems).</li> <li>New “Change Toolkit” has been developed, supporting managers through key areas of change.</li> <li>Further change resource recruited to ensure we have sufficient change and project management capacity within</li> </ul>


<ul style="list-style-type: none"> <li>• Insufficient management information business intelligence to properly model proposed changes and impacts.</li> <li>• Services unable to provide the required level of input into enabling schemes.</li> <li>• An unstable, demotivated workforce at a time of change (See Risk 14- Recruitment and Retention).</li> <li>• Failure to co-produce strategies and approaches to inclusion with residents, making it difficult to build relationships and trust with local communities.</li> </ul>		<p>change within the organisation, including: data, strategy and design, business support, procurement, risk and internal audit, HR and OD, and transformation leads.</p> <ul style="list-style-type: none"> <li>• A management tool has now been procured and is being embedded across the organisation. This tool is supporting a greater cross-cutting view of projects and programmes and will over the medium to longer term facilitate improved benefit tracking. This is also supported by a series of approval mechanisms to ensure understanding of the breadth of projects or programmes within delivery, this includes: regular (e.g. monthly) directorate management team updates and an e-approval route for initial business cases.</li> <li>• Updates on the transformation programme are published within the Cabinet's response to the MTFP. This includes identification of proposals to support a balanced budget.</li> </ul>		<p>the organisation. This is aligned to business relationship managers to ensure clear deployment to strategic priorities. We are now working with Partnership Boards to ensure clear and shared understanding of accountability for different priorities. Working with colleagues to better align strategy and policy development to ensure clear and consistent golden thread between Borough Plan and delivery plans.</p> <ul style="list-style-type: none"> <li>• Updated MTFP includes proposals that, across the entire programme, including partner organisations, could equate to a reduction of posts. This will potentially impact the ability to deliver effective transformation including culture change.</li> <li>• Establishing clear overall programme governance and reporting of risk to programme delivery and resource prioritisation.</li> <li>• Management tool to be rolled out as wider corporate tool across other services in the organisation to facilitate change. Ensuring standard but dynamic change processes, effective monitoring and governance.</li> <li>• Our one transformation programme is now all clearly aligned to the council plan and borough plan priorities</li> </ul>
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<p><b>2. Financial</b></p> <p><b><u>Headline risk</u></b></p> <p>Failure to deliver MTFP (savings targets and balanced budget).</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>The financial landscape continues to be challenging due to the significant levels of uncertainty, volatility and risk exacerbated by the financial impact of the pandemic.</li> <li>It is clear that the financial environment facing Local Authorities is subject to significant financial risk as a result of changes to funding arrangements and the spending pressures that they will face over the medium-term period. As a result, careful management and identification of these risks will be essential, and updates to the MTFP forecasts and assumptions will need to be</li> </ul>	<p>Michael Cullen/ Jonathan Davies</p>	<ul style="list-style-type: none"> <li>Continued updates to the MTFP to retest the underpinning forecasts and assumptions. These are scrutinised by CRMG and reported to Cabinet. Continuing budget monitoring and forecasting of the annual outturn position to identify any core budget underspends/offsets.</li> <li>Continued financial update reports regularly presented to Cabinet.</li> <li>The Council's Reserves Policy is approved by Cabinet annually and scrutinised by CRMG. The Policy ensures one-off resources held are aligned to the Council's corporate and strategic objectives and supporting the Council's financial resilience and robustness. Review of the Council's Capital Programme to consider rephasing of capital</li> </ul>	<p><b>L4x I4</b></p> <p><b>High</b></p>  <p><b>The current level of economic uncertainty and impact on the Council's finances mean this remains a very high risk.</b></p>	<ul style="list-style-type: none"> <li>The Council's MTFP continues to be set in the context of the ongoing national and local recovery from the pandemic. Whilst there have been positive steps forward during the year as restrictions have been lifted, the fragility of this recovery has been highlighted. The impact of residents i.e. cost of living increases, energy support needs, increases in financial hardship/crisis due to the ending of Government support schemes for individuals i.e. furlough, job retention scheme etc., increasing demand for financial resilience and support services and impacting on the Council's income i.e. Council Tax.</li> <li>Regular updates to the MTFP have been completed as part of the annual budget setting process. These updates have retested the underpinning MTFP assumptions and forecasts particularly in relation to pay inflation, price inflation and energy inflation, and taken account of Government announcements in the 2022 Autumn Statement and Local Government Finance Settlement. The</li> </ul>


<p>continual over the medium-term period.</p> <ul style="list-style-type: none"> <li>• The 2022/23 Local Government Finance Settlement continued the trend of one-year funding settlements seen in previous financial years which provide no certainty over funding and again add to the uncertainty of the financial landscape making medium term financial planning difficult.</li> <li>• Balancing the Council's in-year financial position including the delivery of approved saving proposals included in the Council's budget.</li> <li>• Success of the Council's MTFP delivery plan including the approval and delivery of identified saving proposals linked to transformational change and robust corporate governance to support the balancing of the Council's 2022/23 budget.</li> </ul>		<p>schemes and reduce the revenue costs of the schemes.</p> <ul style="list-style-type: none"> <li>• Further updates of the Council's MTFP and revised savings requirement across the medium-term will be taken through future Scrutiny cycles and Cabinet.</li> </ul>		<p>updates have also taken account of budget pressures identified in the in-year monitoring which have been addressed to ensure a robust budget position and MTFP.</p> <ul style="list-style-type: none"> <li>• The 2023/24 Budget includes change proposals totaling £7.302m, which went through two rounds of Member scrutiny in addition to public consultation and engagement. The change proposals identified have been shaped by the four cross cutting programmes of the MTFP Strategy to identify areas where change is needed to improve the effectiveness of service delivery to residents and deliver a balanced budget. Namely: <ul style="list-style-type: none"> <li>○ Demand Management.</li> <li>○ Value for Money and Commissioning.</li> <li>○ Robust Corporate Governance.</li> <li>○ Radically Digital.</li> </ul> </li> <li>• During the year, a Council peer review provided external assurance on the robustness of the Council's financial management including its approach to budget setting, financial planning and monitoring which is embedded across the Council. In addition, a self-assessment against the CIPFA Financial Management Code was</li> </ul>
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				<p>taken to the November Audit Committee, with an update report to the March 2023 Audit Committee following an Internal Audit review of the self-assessment.</p> <ul style="list-style-type: none"> <li>As part of the annual budget setting process, risks against £6.9m of previously approved MTFP savings for 2023/24 have been recognised and picked up in the MTFP update process during the year.</li> </ul>
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<p><b>3. ICT Capacity</b></p> <p><b><u>Headline risk</u></b></p> <p>Insufficient ICT infrastructure and capacity.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>The benefits of digital and information systems are unrealised.</li> <li>Cyber Resilience and security.</li> <li>Recruitment and retention of staff (<b>See Risk 14</b>).</li> </ul>	<p>Michael Cullen/ Adrian Davies</p>	<ul style="list-style-type: none"> <li>Infrastructure Data Centre refreshed pre- Covid (Nutanix procurement) including capacity for remote working and cloud services.</li> <li>Joint working across GM.</li> <li>PSN assessment and testing, Cyber Essentials accreditation.</li> <li>Communications campaigns on threats from cyber-crime.</li> <li>Appointed Cyber Security Manager and invested in new anti-ransomware infrastructure.</li> </ul>	<p><b>L2x I3</b></p> <p><b>Medium</b></p>  <p><b>The Council's Infrastructure and cyber defence has improved but the overall cyber risk is increasing due</b></p>	<ul style="list-style-type: none"> <li>New digital strategy in production to align to Borough Plan and One Stockport Plan.</li> <li>Developing GM One high speed network and Chairing Collaboration Board.</li> <li>Re-assessment of Cyber Essentials accreditation.</li> </ul>

			to macro events.	
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<p><b>4. Demand Pressures</b></p> <p><b>Headline risk</b></p> <p>Inability to influence behaviour change resulting in demand and expectations continuing to rise.</p> <p><b>Specific risks</b></p> <ul style="list-style-type: none"> <li>Welfare reform - Vulnerable residents suffer a fall in household incomes, with associated risks to health and wellbeing and increase in child poverty.</li> <li>Demand and expectations continue to rise particularly in adults and childrens social care against a backdrop of reduced resources for services, leading to increasing demand and financial pressures, service delivery failure and an increase in complaints.</li> </ul>	Corporate Leadership Team	<ul style="list-style-type: none"> <li>Action plan for implementation of reforms within services.</li> <li>Working with Stockport Advice, DWP, Job Centre Plus, Stockport Homes and across Greater Manchester.</li> <li>Monitor developments relating to the passage of supporting statutes for the Children and Social Care Act.</li> <li>MTFP Delivery Plan including channel shift to digital and restructuring of services and commissioning.</li> <li>The continued development and embedding of the ASC performance framework will support modelling of capacity and demand.</li> <li>CSC Performance Framework in place with regular monitoring</li> </ul>	<p><b>L4xI4</b></p> <p><b>High</b></p>  <p><b>Current economic conditions including cost of living crisis plus continued impacts post Covid means pressures continue to emerge.</b></p>	<ul style="list-style-type: none"> <li>Adult Social Care is continuing to see increased demand for assessments, increased complexity of need, through the hospital system to support prevention of admission and discharge. In addition as a result of the pandemic alongside demand waiting times for assessment are rising. Workforce pressures for adult social care in both the wider care market and within the council directly employed staff are increasing cost and leading to waiting times for assessment.</li> <li>Demand has increased during the pandemic both within Stockport and nationally and has resulted in an increase in children looked after, the associated placement costs and long-term duties to meet statutory corporate parenting responsibilities to both children in care and care leavers. In addition to this there is a requirement to support increasing numbers of children seeking asylum and refugees. The demand is</li> </ul>


		<p>to enable identification in changes in demand.</p> <ul style="list-style-type: none"><li>• The ASC transformation programme has robust governance arrangements in place with regular prioritisation to enable the service to focus on legislative changes, operational and financial sustainability.</li><li>• Waiting times for assessments and delivery of care are monitored and prioritisation according to risk on a regular basis to ensure that Care Act duties are complied with.</li></ul>		<p>expected to increase from the current 0.01% up to 0.07% of child population, potentially up to 46 children on the calculations being used nationally through the National Transfer Scheme, this is in addition to any spontaneous unaccompanied asylum-seeking children's arrivals. We are also experiencing significant additional demand in SEND services. We saw a 37% increase in requests for statutory assessment in 2022. This increase is affecting our ability to process EHCPs in a timely manner and is creating a significant pressure for specialist places.</p> <ul style="list-style-type: none"><li>• The Children's Integrated Model has aligned education, health and social care to support a system wide response to demand management which maintains the numbers of children looked after at the lowest in the north west.</li><li>• Tackling the impact of pandemic related backlogs across all services and ensure these are resourced and managed effectively.</li><li>• The cost of living crisis places additional demand pressures on all services as the overall number of residents needing support increases.</li></ul>
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<p><b>5. Supplier and partner risk</b> <b>Headline risk</b> Key suppliers and partners to significant projects and services may be unable to deliver on behalf of the Council.</p> <p><b>Specific risks</b></p> <ul style="list-style-type: none"> <li>• Service failure or projects overrun or cannot be completed.</li> <li>• Services commissioned and procured may not be delivered to the requisite cost or standards.</li> <li>• A combination of low wages, staff burnout, stricter immigration rules and mandatory Covid-19 vaccines pushing the social care workforce into staffing crisis destabilising the care market.</li> <li>• Volatile energy cost implications in relation to suppliers which may affect</li> </ul>	<p>Corporate Leadership Team</p>	<ul style="list-style-type: none"> <li>• Procurement process including financial assessments of potential suppliers followed by annual financial checks.</li> <li>• Robust business continuity and contingency plans.</li> <li>• Proactive support and capacity building for recruitment and retention within the social care sector.</li> <li>• Care provider forums to share emerging issues develop close and trusted working relationships.</li> <li>• Stockport ASC Enhanced Ethical Framework (real living wage).</li> <li>• Home closure and home care recommissioning protocols have been updated and are in place.</li> <li>• In relation to equipment supply Stockport is working closely with the provider to monitor supply and has had to add</li> </ul>	<p><b>L2x I3 Medium</b></p>  <p><b>Current economic conditions plus continued impacts post Covid means pressures continue to emerge in the supply chain.</b></p>	<ul style="list-style-type: none"> <li>• MTFP - Procurement Strand.</li> <li>• Children's projection modelling to inform sufficiency strategy.</li> <li>• Proposed fee uplifts for providers in 2023/24 to stabilise the care market.</li> <li>• Recruitment &amp; retention of staff across the care sector places challenges on the external care market.</li> <li>• Energy supplier market in flux-utility provision subject to re-procurement.</li> </ul>

<p>viability of businesses and/or create upward inflationary pressure on council budgets.</p> <ul style="list-style-type: none"> <li>• Risk that suppliers may be unable to maintain continuity or trade due to inflated energy related costs, with implications for delivery of existing contracts/services with the planned budget envelope.</li> <li>• Legal duties in adult social care for sustaining sufficiency in the local market to meet the needs of local people.</li> </ul>		<p>additional items to our catalogue as alternatives. We have also block purchased some equipment to support discharge.</p> <ul style="list-style-type: none"> <li>• There is ongoing supplier discussion and management with the Health and Social Care market to assess emerging risks and issues. Specifically, the government agenda for Market Sustainability and Fair Cost of Care. Stockport Council has recently published its Market Sustainability Plan and is reviewing its Market Position Statement to ensure the Care market is aware of current demand.</li> </ul>		
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<p><b>6. Information Governance</b> <b><u>Headline risk</u></b> Failure to protect the Council's information assets including personal and sensitive personal data and other confidential data. <b><u>Specific risks</u></b></p>	<p>Michael Cullen (Craig Hughes/ Karen Lane)</p>	<ul style="list-style-type: none"> <li>• Continuous improvement plan in place incorporating changes to working practices, IG and ICT security policies and procedures including assessment and coordination of serious information governance incidents.</li> </ul>	<p><b>L3xI3</b> <b>Medium</b></p> 	<ul style="list-style-type: none"> <li>• Increased risk during period of change, with more data being shared between organisations, employees taking on new roles etc. Particularly so in working with the new ICS arrangements and co-location of multi-agency teams/shared roles.</li> </ul>

<ul style="list-style-type: none"> <li>• Loss of control of personal data and non-compliance with the Data Protection Act (DPA) resulting in harm or damage to the data subject and a negative impact on the reputation of the Council as custodian of personal and sensitive information and possible financial risk via financial penalties.</li> <li>• Failure to appropriately assign and manage Information Assets resulting in a failure to accurately document what records are held, holding records for longer than required resulting in increased storage requirements and limiting the Council's ability to respond fully to Information Rights requests.</li> <li>• Migration of network drives to SharePoint must be recognised as a move to a new electronic Records Management system and appropriately resourced, automatic controls implemented to reduce the risk of individual error, Asset Owners identified and aware of their responsibilities and all staff appropriately trained.</li> </ul>		<ul style="list-style-type: none"> <li>• Robust governance, management and monitoring arrangements including new SIRO Board.</li> <li>• Mandatory staff training.</li> <li>• Regular communication and awareness raising.</li> <li>• Corporate Records Retention Schedule.</li> <li>• Records manager in post from October 2022.</li> <li>• Combined Corporate Information Asset Register and Record of Processing Activity.</li> </ul>	<p><b>There is an increased likelihood of a risk materialising given hybrid working, the move to Office 365 and new working arrangements with the ICS.</b></p>	<ul style="list-style-type: none"> <li>• Data sharing opportunities with key partners for example, health and police partners In particular new arrangements with the ICS.</li> <li>• Office moves as a result of office refurbishments and a move to a hybrid working model have highlighted areas that required improvement and development in relation to physical records and is an opportunity to embed good practice.</li> <li>• New Data Protection legislation is in development. The full impacts are unknown but unlikely to be more onerous.</li> </ul>
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<p><b>7. Adult Social Care (ASC) Change &amp; Transformation and Service Improvement programme</b></p> <p><b>Headline risk</b></p> <p>The programme is in place to ensure that the service is able to continually improve. It builds on the adults operating model, implementing and embedding new legislative changes as well as incorporating local and national best practice.</p> <p>In summary, the risk is that workforce capacity and financial resources are insufficient to deliver the strategic change programme and associated benefits to required timescales.</p> <p><b>Specific risks</b></p> <ul style="list-style-type: none"> <li>From September 2023 there will be a full service inspection framework for Adult Social Care departments which will be undertaken by the Care Quality Commission (CQC). It</li> </ul>	<p>Chris McLoughlin</p> <p>Sarah Dillon</p> <p>Emma Bowe</p>	<ul style="list-style-type: none"> <li>Adult Social Care has been on a journey to re-design the service offer to ensure future sustainability and a customer journey that focuses on outcomes and independence. The continued development and refinement of this model ensures that the Council are able to respond to legislative changes and fluctuations in demand.</li> <li>There is a monthly Quality Assurance Board SMT with a forward plan to oversee quality across adult social care.</li> </ul> <p>Principal Social Worker role is now a singular role covering quality across adults social care and CQC preparation.</p> <ul style="list-style-type: none"> <li>The programme is led by the Head of Transformation for ASC. Each workstream has a nominated lead, all of whom are members of the ASC Senior Management Team. Support to the programme is</li> </ul>	<p><b>L3 x I4</b></p> <p><b>High</b></p> 	<ul style="list-style-type: none"> <li>The priorities of the service are aligned to those of the One Health and Care Plan, the One Health and Care Board and the 2023/24 Council plan. The transformation programme builds on these ambitions and will enable the service to enable change, deliver sustainability and respond to legislative changes.</li> <li>The Health and Care sector, continues to face demand pressures. This links to risks, controls and challenges described as part of risk 4) Demand Pressures. As a result, wait times have increased.</li> <li>The cost of living crisis and high energy costs may have an impact on vulnerable individuals, household and existing contracted providers. This could potentially affect business viability with an impact on council budgets and service provision for vulnerable adults.</li> <li>The service and external care provision continues to experience workforce pressures, impacting on both recruitment and retention. High</li> </ul>

<p>is important that the Council are prepared for the launch of this new inspection regime.</p> <ul style="list-style-type: none"> <li>• The development and implementation of Integrated Care Systems across Greater Manchester (GM) and the Stockport Health &amp; Social Care Partnership will result in changes to the way we collaborate and deliver services across the Council. The service is shaping this for the Stockport locality and ensuring that the statutory and legislative requirements of the service continue to be adhered to. ICS will be subject to a full assurance framework, it is important that as a system we are prepared for this inspection regime.</li> <li>• There are number of legislative changes that are on the horizon in relation to the delivery of Adult Social Care. Social Care charging reforms have been delayed until October 2025, and a number of other legislative changes currently await an implementation date.</li> </ul>		<p>provided through the transformation team who work with the service to deliver the programme, identify and manage risks.</p> <ul style="list-style-type: none"> <li>• The risks of failure to deliver the transformation programme is overseen by the Head of Transformation and the Senior Management Team through the monthly Transformation Board. Highlight reports are presented to this board on a monthly basis, describing what has been delivered, along with any current risks and challenges. Recommendations in relation to the programme are presented to the board for approval, and then if necessary, through the Corporate Leadership Team and democratic process.</li> <li>• Each Head of Service has a work plan with deliverables that are achievable.</li> <li>• As part of our wider transformation programme, service improvement has been prioritised this will support our readiness for the implementation of CQC Assurance Frameworks.</li> </ul>		<p>turnover of colleagues and/or holding vacant posts will impact on the ability to deliver the transformation programme.</p> <ul style="list-style-type: none"> <li>• Additional funding has been secured for 2 Assistant Director posts with a focus on Operations and Commissioning to give additionality and assurance for adult social care as a regulated part of the Council.</li> </ul>
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		<ul style="list-style-type: none"> <li>The transformation programme also includes all MTFP saving programmes, ensuring that there are robust plans for delivery and regular detailed monitoring. Financial sustainability is one of the priorities of ASC.</li> </ul> <p>Adult Social Care is a key contributor to the work of the ICB Locality Board with the DASS being a member of the Board and the ICS Locality Executive Team. The DASS is also a member of the Neighbourhood and Prevention Board and co-leading the Neighbourhood Health and Care workstream.</p>		
<p><b>8. Stockport Family Integration (transformation programme)</b></p> <p><u>Headline Risk</u></p> <p>Financial resources and capacity are insufficient to deliver the</p>	<p>Chris McLoughlin (Carolyn Anderson)</p>	<ul style="list-style-type: none"> <li>Integrated Stockport Family, Education, Health and Care is in place putting children and families at the heart of service delivery; with an emphasis on prevention, early help, strengths and asset-based approaches, community capacity building and</li> </ul>	<p><b>L3 x I4</b></p> <p><b>High</b></p> 	<ul style="list-style-type: none"> <li>Additional pressures for transformational delivery, demand and supply management place a heavy demand on existing resources which may lead to delays or delivery failure in some areas.</li> <li>Potential additional demand on council resources in relation to the impact of</li> </ul>


<p>strategic change programme and associated benefits to required timescales.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>• Service transformation projects are risky, high-profile, complex, inter-dependent and costly, and ultimately challenging to deliver. They are prone to falling short of promised benefits and / or exceeding budgets and can present the greatest risk of failure to the Council.</li> <li>• Post Covid impacts continue to place increased demand on the service from a care perspective. This has caused delay in the implementation of some key transformation programmes.</li> <li>• Short term financial settlements and the need to identify savings place additional pressures on transformation and change programmes.</li> <li>• Children's Social Care continues to face challenge in</li> </ul>		<p>neighbourhood working and as such draw demand downstream giving the greatest potential for meeting needs earlier and resultant reduction in spend.</p> <ul style="list-style-type: none"> <li>• This delivery offer is based on the solid foundation of a locality based integrated service, co-produced with a variety of stakeholders including schools and voluntary and community sector partners.</li> <li>• This programme is led by the Transformation Lead for Childrens Services with the senior leadership team.</li> <li>• Oversight of the programme is given by Children's SMT (Directors and Heads of Service) chaired by the Corporate Director for People and Integration and DCS.</li> <li>• The transformation programme has brought an integrated Stockport Family and Education Service. The implementation of this programme is underway to establish an effective integrated model in each neighbourhood including Team Around the School, Team around Early Years and the Inclusion Service</li> </ul>	<p><b>Continued demand increases, budget pressures mean the risk remains high.</b></p>	<p>rising energy costs in relation to safeguarding vulnerable individuals and households in the borough.</p> <ul style="list-style-type: none"> <li>• Education strategy developments in light of the White Paper (Opportunity for All) including embracing and working with Academy schools.</li> <li>• Stockport is one of 12 authorities to receive national transformation funding to deliver family hubs. These hubs will enable support for families that is clearly accessible and reduces the stigma associated with asking for help. This programme will enable improved system wide partnership working, bringing support to where children and their families live, learn and transition to adulthood.</li> </ul>
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<p>relation to recruitment and retention of key roles. One area we need to highlight that may be effecting this is that we are not competitive in our pay scales for Senior Social Workers upwards compared to other GM Authorities. Not only does this place pressure on business as usual activities but it inhibits the resources that are available to support the transformation programme. This links in with risk 14.</p> <ul style="list-style-type: none"> <li>• Impact upon time away from education settings for our young people. We are yet to fully understand this impact, particularly upon our vulnerable learners but starting to see the impact on sufficiency of SEN places as parents are choosing to opt for special schools.</li> <li>• Operational capacity to deliver the change required may not be balanced with the need to make extensive savings.</li> <li>• Committed to reducing Early Help offer to meet budget pressures. The redesigned offer is untested and will</li> </ul>		<p>to bring services closer to where children live, learn and transition in a post Covid environment.</p> <ul style="list-style-type: none"> <li>• A refresh of the Restorative Practice training has also been undertaken to support the workforce through cultural change, new ways of working and integration.</li> <li>• Alongside, work on commissioning within the social care market will need to continue to address the growing costs that Children's Social Care is currently funding.</li> <li>• Corporate Director for People bringing in an all aged approach and a consolidated leadership team of 3 directors now in place.</li> <li>• We have worked with school leaders to identify the priorities which have formed the basis of our Education Recovery Strategy. This strategy will maximise the impact of government resources and provide a strategic framework to support individual school planning. Having discussed this with our headteachers we</li> </ul>		
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<p>require careful consideration of how remaining resources are applied to keep the system safe, offer help at the earliest juncture and consider to achieve success in supporting families.</p> <ul style="list-style-type: none"><li>• We are also experiencing significant additional demand in SEND services. We saw a 37% increase in requests for statutory assessment in 2022. This increase is affecting our ability to process EHCPs in a timely manner and is creating a significant pressure for specialist places.</li></ul>		<p>agreed that there were 3 core priorities:</p> <ul style="list-style-type: none"><li>• <b>Reading</b> (including oracy and communication).</li><li>• <b>Curriculum</b> (including both what is taught and how it is delivered).</li><li>• <b>Enriching experiences</b> (including developing good emotional well-being through daily relationships and high-quality social interaction).</li></ul> <p>These will be delivered through:</p> <ul style="list-style-type: none"><li>• integrated service provision</li><li>• championing professional learning and discussion.</li><li>• using research findings well as the basis for professional learning.</li></ul> <ul style="list-style-type: none"><li>• The new Early Help offer is being designed building on the learning from the successful Integrated Stockport Family model. In addition, careful analysis of data has identified lead drivers for demand to bring a more targeted offer to those areas. The new model will require a period of testing to understand the impact.</li></ul>		
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		<ul style="list-style-type: none"> <li>Stockport is taking part in the DfE Delivering Better Value programme which is providing £1m to support programmes to reduce demand for SEND services and reduce our DSG deficit (£10m+).</li> </ul>		
<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b>9a- School places</b></p> <p><b>Headline risk</b></p> <p>Commissioning of school places in a challenging financial and legislative landscape, with an increased focus on house building; leading to an insufficient supply of school places across the Borough.</p> <p><b>Specific risks</b></p> <ul style="list-style-type: none"> <li>Declining birth-rate causing viability issues in some Primary schools.</li> <li>Contrary to above, demand for primary places exceeds number of available places in specific pupil place planning</li> </ul>	<p>Michael Cullen</p> <p>Chris McLoughlin</p> <p>Caroline Simpson</p> <p>Tim Bowman</p> <p>Geraldine Gerrard</p> <p>Bev Milway</p> <p>James Kingston</p>	<ul style="list-style-type: none"> <li>Ensure the annual school capacity return (SCAP) reflects accurately the pressures Stockport is experiencing to ensure appropriate central government capital support.</li> <li>Write successful bids to provide capital support for school expansion programmes.</li> <li>Rebuild and expand Lisburne School for SEND places.</li> <li>Continue with the expansion of and geographical spread of resource bases in mainstream schools.</li> <li>Mainstream Sufficiency Strategy, SEND Sufficiency Strategy link into School</li> </ul>	<p><b>L3 x I2</b></p> <p><b>Medium (Mainstream)</b></p>  <p><b>Remains the same because of new threat of viability to primary but steps taken for Secondary.</b></p> <p><b>(High for SEN)</b></p>	<ul style="list-style-type: none"> <li>The DSG review recommends a much more robust specialist support offer to mainstream settings to ensure pupils with additional needs are supported.</li> <li>37% increase in requests for statutory assessment and subsequent increase in EHCPs is placing significant demand on special school places and over reliance on independent provision.</li> <li>Differentiated place funding will enable schools that educate those with additional needs the ability to purchase additional resources to meet need.</li> <li>Coherent SEND training offer across the local area from SEND/Inclusion services.</li> </ul>


<p>areas. The pressure hotspots are located in Heatons and Offerton.</p> <ul style="list-style-type: none"> <li>• Contrasting pressure in Secondary as bulge of pupils moves from KS2 into KS3 and through to KS4.</li> <li>• Some parents are not allocated their preference schools.</li> <li>• Places for children with complex needs SEMH and Education Health and Care Plans are limited and requires a robust inclusion strategy and further specialist place planning and training for mainstream staff. The number of pupils with SEND is projected to grow (upper limit) by 2.85% (approx.56 pupils) each year for at least the next 5 years.</li> </ul>		<p>Investment Plan aligned to Borough Plan.</p>	 <p><b>Remains the same as do not yet know the impact of the Inclusion Strategy and service.</b></p>	<ul style="list-style-type: none"> <li>• The Local Plan will identify areas for housing expansion and agreed methodology will estimate potential increase in pupil population (pupil yield). Developer contribution expectations will be written into policy underpinning the Plan.</li> <li>• Funding for capital investment to maintain and grow the school estate remains constrained by the priorities and focus of Government and as such relies on the Council to better seek economies of scale where possible.</li> <li>• Setbacks in the delivery of Pear Tree Special Free School (DfE delivery not LA) and the fire at the new site for Lisburne have created operational, revenue and capital pressures, delaying the roll out of other high needs projects or by diverting capital monies into mitigations.</li> <li>• The 5-year forecast indicates that the need for high needs places continues to increase, specifically children with Autism and/or Social Emotional and Mental Health needs</li> <li>• Academisation agenda will necessitate robust discussions with academies around place sufficiency.</li> <li>• Commissioning external demographers to assist in pupil</li> </ul>
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				projections and indicate anticipated demography following the Local Plan.
<p><b>9b. Condition of School Estate</b></p> <p><b><u>Headline risk</u></b></p> <p>The quality of the school estate is deteriorating and there is a backlog of urgent condition repairs.</p> <p><b><u>Specific risk</u></b></p> <ul style="list-style-type: none"> <li>School closure due to critical failure.</li> </ul>	<p>Caroline Simpson</p> <p>Chris McLoughlin</p> <p>Michael Cullen</p> <p>James Kington</p>	<ul style="list-style-type: none"> <li>A reassessed programme of condition surveys across the entire school estate, followed by a phased programme of repairs being completed.</li> <li>Rolling 5-year programme aligned to Council AMP.</li> <li>Investing in feasibility studies for future years programme.</li> <li>Prioritising H&amp;S- focus on school closure issues- roofs, electrics and mechanics.</li> <li>Condition surveys identify opportunity to improve accessibility.</li> <li>Identify targeted decarbonisation works where possible. Testing in one Primary and one secondary scheme.</li> </ul>	<p><b>L2 xI2</b></p> <p><b>Low</b></p>  <p><b>The underlying backlog maintenance requirement remains high but higher impact works are being undertaken so the risk of a largescale forced closure is reduced.</b></p>	<ul style="list-style-type: none"> <li>Secured funding for 1 Primary School rebuild, 2nd coming up.</li> <li>Building Lisburne School which frees up older buildings.</li> <li>Undertaking condition works at same time as expansion where possible.</li> <li>Use of S106 agreements with developers as part of the Local Plan.</li> <li>White paper on academisation means the Council will not borrow to repair assets that may transfer to academies in the medium term. But this means possible future trading opportunities for the maintenance functions.</li> <li>DfE Rebuilds of Moorfield and Thorn Grove alleviates some of the greatest risk to possible closure.</li> </ul>

<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b>10.Safeguarding</b></p> <p><b><u>Headline risk</u></b></p> <p>Failure of safeguarding arrangements for children and adults with care and support needs.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>• Potential harm to adults with care and support needs where legislative responsibilities are not met.</li> <li>• Reputational and financial risk to the Council if statutory safeguarding duties are not appropriately discharged.</li> <li>• Risk to the Council and individuals as waiting times for DOLS has increased.</li> <li>• Reputational risk to the Council if children and families are not identified and supported.</li> </ul>	<p>Chris McLoughlin</p> <p>(Nuala O'Rourke/ Julia Newton)</p>	<ul style="list-style-type: none"> <li>• Review of effectiveness of Multi Agency Adults at Risk (MAARS) completed and complete. Learning circles being delivered to practitioners.</li> <li>• Review of DOLS processes including increased capacity but demand still exceeds capacity to deliver resulting in waiting lists.</li> <li>• Safeguarding Children and Adults Boards.</li> <li>• Alignment of adults and children's safeguarding processes. Multi-Agency Safeguarding and Support Hub (MASSH) and Stockport Family First Response Team.</li> <li>• Transformation in ASC is undergoing a comprehensive review of safeguarding adults and quality pathways to ensure compliance with the Care Act and Making Safeguarding Personal continues to be fully implemented.</li> </ul>	<p><b>L3x I4</b></p> <p><b>High</b></p> 	<ul style="list-style-type: none"> <li>• Restructuring Childrens services bring opportunities for better awareness and more effective engagement with vulnerable children, adults and their families.</li> <li>• Ongoing funding and action plan in place for tackling longstanding and national DOLS waiting list issue to ensure statutory compliance. ADASS guidance being followed.</li> <li>• The Report of the Independent Inquiry into Child Sexual Abuse was published in October 2022. The Report contains a number of recommendations that all Local Councils (and other public bodies) will need to consider.</li> </ul>

<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b>11. Delivering growth</b></p> <p><b><u>Headline risk</u></b></p> <p>Failure to deliver sustainable key strategic, regeneration and capital investment programmes.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>• Failure or delay in key growth and highways programmes impacting on growth objectives and local economy, e.g. in reducing congestion, increased employment.</li> <li>• Short-term impact of major infrastructure and development projects, with roadworks, congestion and reduced parking affecting town centre.</li> <li>• Insufficient funding and land supply impacting on ability to deliver new housing development.</li> <li>• External market developments negatively impact income and benefit</li> </ul>	<p>Paul Richards/ Robert Goulsbra</p>	<ul style="list-style-type: none"> <li>• Agreed deadlines are realistic and achievable.</li> <li>• Regular updates provided by project leads.</li> <li>• Strategic overview and challenge.</li> <li>• Timeline for each project controlled.</li> <li>• Corporate Estates Strategy / Asset Management Plan.</li> <li>• Regular progress meetings held, e.g. on TC co-ordination to ensure disruption is minimised.</li> <li>• Proactive and independent advice/assurance that Internal Audit/Risk and external advisors provide to all key projects.</li> <li>• Consistency with Emerging Local Plan. Establishment of local housing company (Viaduct Housing) to maximise available funding.</li> </ul>	<p><b>L3x I3</b></p> <p><b>Medium</b></p>  <p><b>Economic uncertainty, supply chain impacts means imprudent to downgrade risk level.</b></p>	<ul style="list-style-type: none"> <li>• Stockport Local Plan Stockport Exchange Ph4 and onwards.</li> <li>• Continued work of the MDC to generate investment opportunities in Town Centre West. Enhanced support from GM Combined Authority and Homes England for growth in Stockport town centre.</li> <li>• Availability/ affordability of funding impacting on project viability.</li> <li>• Recruitment and retention of relevant skills - see Risk 14.</li> </ul>

<p>assumptions, both at commencement of a scheme but also at break points in leases.</p> <ul style="list-style-type: none"><li>• Progress on specific projects is not viewed strategically, impacting on ability of Growth and Reform programme to deliver on the scale and timing required.</li><li>• Projects not delivered within agreed timescales meaning that savings need to be found from other budgets or from reserves.</li><li>• Conflict or duplication between project outcomes.</li><li>• Impact of macro-economic developments on project viability and delivery. Particularly construction price inflation.</li></ul>		<ul style="list-style-type: none"><li>• Working closely with Planning authority on pre-application discussions to minimise planning risk.</li><li>• Close liaison with Members on applications and call-ins.</li><li>• Clear mechanisms in place to ensure the Council has adequate control and influence over the Stockport Mayoral Development Corporation.</li><li>• Robust programme and project management around the Growth and Reform programme.</li><li>• Strategic Business Plan refreshed annually for the MDC now approved by Council and GMCA.</li></ul>		
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<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b>12. Climate Emergency</b></p> <p><b><u>Headline risk</u></b></p> <p>The Council declared a Climate Emergency in March 2019.</p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>• Challenges measuring the impact of any plans and policies implemented by the Council.</li> <li>• Affecting change within a limited resource envelope.</li> <li>• 2 challenging targets : <ul style="list-style-type: none"> <li>1. Carbon Neutral Borough by 2038</li> <li>2. Net zero emissions for the Council by 2030</li> </ul> </li> <li>• Adaptations need to be identified to mitigate the impact on delivery of Council services and strategies from current and future climate events affecting supply chains, health, local</li> </ul>	<p>Caroline Simpson</p> <p>Mark Glynn/ Nick Leslie</p>	<ul style="list-style-type: none"> <li>• Member led Climate Emergency Task Group formed.</li> <li>• The Council has launched its Stockport CAN (Climate Action Now) strategy Annual report on progress presented to Communities and Housing Scrutiny.</li> </ul>	<p><b>L4 xI3</b></p> <p><b>High</b></p> <p><b>(previously Medium)</b></p>  <p><b>Recent announcement that a global 1.5 degree celsius increase is imminent indicates that nationally and internationally, measures on adaptations</b></p>	<ul style="list-style-type: none"> <li>• Risk of insufficient funds to deliver CAN in timescales set out.</li> <li>• Economic pressures both locally and nationally making resources to deliver change even scarcer than when the Emergency declared.</li> <li>• Environmental impact assessment process requires improvement.</li> <li>• Service climate risk workshops in Spring 2023 to better understand overarching climate impacts.</li> <li>• CAN Fund under review and going to Scrutiny Committee in February.</li> <li>• New Carbon Literacy course developed for officers and members.</li> <li>• Opportunities for the Council to encourage Green economic growth e.g. MDC , Economic Plan, Estates Strategy, renewable energy infrastructure.</li> <li>• Opportunities for accessing national government funding for de-carbonisation and adaptation of Council operations and services.</li> </ul>




infrastructure and climate led migration.			and measures to limit emissions are both significantly behind where required.	
<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<b>Existing Controls</b>	<b>Previous and current risk scores and direction of travel</b>	<b>Emerging risks &amp; opportunities</b>
<p><b><u>13A – Civil Contingencies/ National emergencies</u></b></p> <p><b>Headline Risk</b></p> <p>Any national or local issue that impacts on the residents and services in the Borough.</p> <p><b>Specific Risks</b></p> <p>This will include but is not limited to :</p> <ul style="list-style-type: none"> <li>• Floods.</li> <li>• Civil unrest.</li> <li>• Supply chain disruption to essential services.</li> <li>• Major transport disruption.</li> <li>• Terrorism.</li> </ul>	<p>CLT/ Michael Cullen/ Claire Grindlay</p>	<p>Membership of Greater Manchester Civil Contingencies and Resilience Unit (GMRU) to lead the delivery of civil contingency services for the 10 local authorities in Greater Manchester. The GMRU provides emergency planning on our behalf. <a href="https://www.greatermanchester-ca.gov.uk/what-we-do/resilience/">https://www.greatermanchester-ca.gov.uk/what-we-do/resilience/</a></p> <p>There is a full library of GM Civil Contingency Plans supplemented with Local considerations which are updated regularly by GMRU with Stockport input.</p> <p>Locally, Civil Resilience and Business Continuity Manager liaises with GMRU and ensures the Council has adequate governance</p>	<p><b>New risk</b></p> <p><b>L2xI4</b></p> <p><b>Medium</b></p>	<p>Officers participated in Operation Mighty Oak, a National Exercise for “black start” power emergency (ie loss of full grid capacity).</p> <p>Learning from Mighty Oak was shared with Senior Leadership group and an action plan has been developed.</p>

<ul style="list-style-type: none"> <li>• Major industrial accident.</li> <li>• Pandemic/ significant dangerous disease outbreak (including Covid-19).</li> <li>• Power outages.</li> <li>• Industrial action (LA or key partner).</li> </ul>		<p>and resources to respond to civil emergencies.</p> <p>Established strategic and tactical leads and on call infrastructure</p> <p>Health Economy Outbreak Action Plan including internal governance arrangements in the event of a serious outbreak.</p> <p>Debriefs and lessons learned after every incident.</p> <p>Local Resilience Forum meeting with all local emergency responders testing our plans twice a year.</p> <p>Presentation to Senior Leadership Group on key themes.</p>		
<p><b><u>13B Business Continuity</u></b></p> <p><b>Headline Risk</b></p> <p>Any national or local issue that impacts on the Council’s ability to deliver services.</p> <p><b>Specific Risks</b></p> <p>This will include but is not limited to :</p> <ul style="list-style-type: none"> <li>• Significant strikes.</li> <li>• Major transport disruption.</li> <li>• Loss of Council Accommodation.</li> </ul>	<p>CLT/ Michael Cullen/ Claire Grindlay</p>	<p>Annually each service undertakes a Business Impact Analysis (BIA) which categorises core activities based on:</p> <ul style="list-style-type: none"> <li>• Identifying and prioritising critical services and activities.</li> <li>• Establishing recovery time objectives.</li> <li>• Identifying resources required for recovery (staff, premises, ICT, suppliers etc).</li> </ul>	<p><b>New risk</b> <b>L2xI4</b> <b>Medium</b></p>	<p>Officers participated in Operation Mighty Oak, a National Exercise for “black start” power emergency (ie loss of full grid capacity).</p> <p>Plans should be regularly tested by Services.</p>

<ul style="list-style-type: none"> <li>• Loss of utilities particularly electricity.</li> <li>• Loss of IT / Telephony cyber capacity (see Risk 3).</li> <li>• Major supply chain disruption (see Risk 5).</li> <li>• Serious health outbreak/ pandemic impacting Council officers (including Covid-19).</li> <li>• Industrial action (LA or key partner).</li> </ul>		<ul style="list-style-type: none"> <li>• Identifying dependencies and further planning requirements.</li> <li>• Identifying the potential damage or loss that may be caused as a result of disruption to critical business processes.</li> </ul> <p>They also include a RAG rating based on current and planned mitigations. These BIAs formulate the prioritisation of recovery for the Corporate Business Continuity Plan which is also produced annually.</p> <p>The BIAs also form the basis of Service Level Business Continuity Plans (BCPs which details the mitigations and recovery plans for the following scenarios:</p> <ul style="list-style-type: none"> <li>• Loss of IT infrastructure.</li> <li>• Significant loss of staff.</li> <li>• Loss of accommodation.</li> <li>• Loss of Key Suppliers.</li> <li>• Loss of power.</li> <li>• Loss of other key requirements specific to the service.</li> </ul> <p>The production of BIAs and BCPs is now via an on line system which</p>		
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		<p>requires HoS sign off for accountability.</p> <p>Significant investment in IT infrastructure to enable staff to work remotely.</p> <p>Civil Resilience and Business Continuity Manager regularly liaises with senior management.</p>		
<b>Risk Area</b>	<b>Owner (CD / HoS)</b>	<ul style="list-style-type: none"> <li><b>Existing Controls</b></li> </ul>	<b>Previous and current risk scores and direction of travel</b>	<ul style="list-style-type: none"> <li><b>Emerging risks &amp; opportunities</b></li> </ul>
<p><b>14. Workforce recruitment, development and retention</b></p> <p><b>Specific risks</b></p> <ul style="list-style-type: none"> <li>Recruitment in key areas struggling to attract sufficient suitable applicants and CIPD data projecting 1 in 4 organisations will have even more significant recruitment issues in the next six months.</li> <li>Private sector and other areas of the public sector (including</li> </ul>	CLT/ Graham Charsley	<ul style="list-style-type: none"> <li>One Team People Plan.</li> <li>WDP process.</li> <li>Children’s SEND Workforce Development Plan.</li> <li>Adult Social Care have established Workforce workstream within the transformation programme. This is linked to the one people plan and will support the directorate to address ongoing workforce challenges.</li> </ul>	<p><b>L4 x I4 (High)</b></p>  <p><b>Key areas remain under pressure from recruitment and</b></p>	<ul style="list-style-type: none"> <li>Better utilisation of social media for recruitment dedicated twitter site launched @JobsAtStockport, recruitment comms strategy in development and Stockport Jobs Fair being planned.</li> <li>Benchmarking underway on benefits and salary bandings.</li> <li>External consultants providing advice on recruitment and retention.</li> <li>Established public sector network for sharing candidates.</li> </ul>

<p>the NHS) may offer better remuneration, terms &amp; conditions for staff with transferable skills.</p> <ul style="list-style-type: none"> <li>• Shortage of appropriately skilled technical staff in key areas (e.g. social workers, occupational therapists, highways, programme management).</li> <li>• Increasing older population with care needs requires recruitment, training, developing and retaining the increasing numbers of staff required to meet the population's needs.</li> <li>• Capacity issue in recruitment functions.</li> <li>• Insufficient management information to better understand key drivers and areas of pressure.</li> </ul>			<p><b>retention issues.</b></p> <p><b>Feeling is that the residual risk continues to increase.</b></p>	<ul style="list-style-type: none"> <li>• Feedback from surveys of staff to SMT HoS creating action plans to deliver priorities from the All Colleague Survey.</li> <li>• Enhanced reporting from Existing case management system.</li> <li>• Additional short-term capacity sourced in recruitment team.</li> <li>• Directorate SMT workshops to understand challenges better.</li> <li>• HR business partners working with Directorate SMT's to help them understand and address their workforce challenges.</li> <li>• Development of priorities agreed with the Workforce Steering Group and presented to CLT. Action plan of the One Team People Plan to address issues.</li> <li>• Key workforce data extracted and will be showcased to SMTs to develop directorate workforce strategies.</li> <li>• EDI - work to further understand the conversion rate for protected characteristics within our recruitment and selection process.</li> <li>• Promoting L&amp;D offer, flexible working/ hybrid and promotion of Equality &amp; Diversity policies.</li> </ul>
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Risk Area	Owner (CD / HoS)	Existing Controls	Previous and current risk scores and direction of travel	Emerging risks & opportunities
<p><b>15. Integrated Care System – Health and Care Bill (June 2022).</b></p> <p><b>Triple aim - better health for everyone, better care for all and efficient use of health and care resources.</b></p> <p><b><u>Specific risks</u></b></p> <ul style="list-style-type: none"> <li>Lack of clarity on funding flows impacts the development of multi-agency financially compliant pooled/aligned health and care budgets with agreement on how the budgets are used to develop coordinated health and care at locality and neighbourhood levels.</li> <li>Priorities at a locality level across health and care are not agreed leading to issues</li> </ul>	<p>Corporate Leadership Team</p> <p>Kathryn Rees</p>	<ul style="list-style-type: none"> <li>Assurance of ICP and ICBs - ICSs will be held to account by the Care Quality Commission (CQC) Integrated Care System inspection framework, which will be used from The CQC will look broadly across Integrated Care Systems, and in particular at how Integrated Care Boards, local authorities, providers of NHS care, public health and adult social care services are working together to deliver safe, high quality and integrated care to the public.</li> <li>ICBs will be held to account by NHS England annual performance assessments, which will assess how well each ICB has discharged its functions.</li> <li>ICBs will also be held to account for how they discharge</li> </ul>	<p><b>L3 x I3</b></p> <p><b>Medium</b></p>  <p><b>A degree of uncertainty remains at GM level.</b></p>	<p>Locality governance moved from shadow form on 1st July 2022 to multi-agency governance arrangements including: -</p> <ul style="list-style-type: none"> <li>A Locality Board named ‘One Stockport Health and Care Board’ will be formally constituted as an ICB subcommittee in May 2023. The Board has responsibility for joint priorities and the effective joint stewardship of public resources benefiting health and care. The initial One Health and Care Plan will be refreshed in 2023 and republished after further engagement and consultation.</li> <li>A Place Based Integrated Care Lead which is Caroline Simpson with a Deputy Lead, Phillipa Johnson. These posts have dual accountability to the local authority and to the Greater Manchester ICS.</li> <li>A place-based provider collaborative named ‘Stockport Provider</li> </ul>

<p>with mobilisation of resources, lack of transparency, collaborative working and trust.</p> <ul style="list-style-type: none"> <li>• Lack of availability of health and care provision in the right place at the right time leading to increased pressure on health and social care with increased system costs.</li> <li>• Poor quality care with lack of properly trained staff impacts on building a strong integrated care system with effective clinical and care professionals.</li> <li>• Lack of user/citizen engagement to develop a co-designed and co-produced system that is founded on the principles of personalisation.</li> </ul>		<p>their child safeguarding duties through joint targeted area inspections (JTAs), conducted by CQC, the Office for Standards in Education, Children’s Services and Skills (Ofsted) and Her Majesty’s Inspectorate of Constabulary and Fire &amp; Rescue Services (HMICFRS). These inspections will assess how well local agencies (including the ICB) work together to protect children.</p> <ul style="list-style-type: none"> <li>• ICBs will also be held to account on the discharge of their SEND duties through CQC and Ofsted joint local area SEND inspections, which hold local area leaders to account for how they implement the SEND Code of Practice and for their strategic leadership of services in the local area.</li> <li>• A performance Improvement and Assurance framework is being developed and set to start reporting in February 2023. This will enable escalation of key risks identified in Stockport to be received and responded to by GM ICS.</li> <li>• The Health and Wellbeing Board and council scrutiny</li> </ul>		<p>Partnership’ providing comprehensive integrated care at neighbourhood and boroughwide levels pulling on key enablers to facilitate collaborative working.</p> <ul style="list-style-type: none"> <li>○ Taking into consideration the Joint Strategic Needs Assessment. The Stockport system has a population health focus, supporting person and community-centred approaches, social support alongside medical intervention, prevention and proactive early help across public services and VCSFE partners.</li> <li>○ The Stockport Quality Improvement Collaborative provides the means of ensuring clinical and care professional input and leadership to place based working with a clear focus on quality of service provision.</li> <li>• The Greater Manchester’s Health and Care System governance and operating model is still being developed. Consequently, clarity will emerge over governance and interaction between the Locality and Greater Manchester ICS. Stockport continues to work operationally in line with previous practice.</li> <li>• The pooled budget includes the Better Care Fund, Improved Better Care Fund and Disabled Facilities Grant. This sits alongside the delegated</li> </ul>
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		<p>committees will provide an overview and scrutiny function to ensure independent challenge on delivery of the integrated health and care expectations.</p>		<p>funds from GM IICB to provide a solid foundation to grow in parallel and further integrate as the GM ICS and Stockport locality evolve and mature.</p> <ul style="list-style-type: none"><li>• A communication and engagement plan is currently in development and will focus on staff and public information and feedback.</li><li>• The consultation with NHS staff previously employed by the CCG and transferred under GM ICB on the 1 July 2022 should conclude in 2023 and staff deployed to Local and GM structures. This will stabilise the delivery capacity alongside partner organisations to support the realisation of the ambitions outlined in the One Health and Care Plan.</li><li>• The Terms of Reference and forward plan for the Health and Wellbeing Board are currently being reviewed. Previously this statutory Board had sole duty to promote integrated working among local providers of healthcare and social care. The distinction between the Health and Wellbeing Board and the One Health and Care Board (Locality Board) will be a focus for 2023 with the Health and Wellbeing Board, council scrutiny function, Quality Committee and patient voice mechanisms providing an overview and scrutiny function to ensure independent challenge on</li></ul>
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				<p>delivery of the integrated health and care expectations, as well as further focus on the wider determinants of health. The Health and Wellbeing Board currently retains the duty to agree plans for spending against the Better Care Fund which is the funds that make up the Locality Board's Section 75 pooled budget.</p>
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### Residual risk scoring

<i>Methodology</i>	<i>Combined score (Likelihood and Impact each rated 1-4)</i>	<i>Rating</i>
Best practice is to score individual risks in terms of likelihood and impact of the risk materialising (each 1-4) and allocate a risk rating that combines the two.	1-4	Low
	6-9	Medium
	12-16	High