



STOCKPORT
METROPOLITAN BOROUGH COUNCIL

Adult Social Care

Portfolio Performance and Resources Third Update Report 2018/19



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ADULT SOCIAL CARE – PORTFOLIO OVERVIEW



Portfolio Summary

I'm pleased to present the Q3 Performance and Resources report 2018/19 for the Adult Social Care Portfolio.

I'd like to take the opportunity to highlight the £67k of national funding awarded to Stockport in November to maximise the use of assistive technology to help keep people safe and independent at home. Our project is a range of services working together to provide individual technology enabled care for residents of Stockport. I look forward to reporting back on this in future reports.



The Stockport Trusted Assessor in Care Homes (TACHT) and Care home team commenced during this period. The TACHT project was co-produced with Care and Nursing home providers in Stockport and Care home managers contributed to the design and documentation they felt necessary. TACHT offer includes support to Care Homes for up to 72 hours post discharge. I'm pleased to report that more than 30 providers have now signed up to the scheme.

In early December a locality meeting was held with the GMHSCP Carers leads to look at Stockport's services for carers and how they can deliver on the recently launched GM Exemplar Framework for Carers. The GM Support for Carers Programme has designed and is now implementing two enabling projects: the GM Exemplar Model for Carer Support and the GM Working Carers Toolkit. Our plans to enhance early intervention and preventative services for carers are being considered.

The Finalists have now been announced for the Stockport Star Awards which recognises excellence within the care sector in Stockport. The winners will be announced at an awards ceremony in February. Congratulations to all the finalists and a big thank you to everyone who took part.

I'm pleased to report the excellent performance on nursing and residential bed capacity, with high CQC ratings. Of the 66 homes in Stockport, 73% are rated as outstanding or good, which places Stockport second overall across GM on this measure.

The financial resources deployed in this portfolio total £69.781m. The outturn position is a deficit of £0.779m, this equates to 1.12% variance in terms of the total resources available. Budget pressures will be closely monitored and reported back.

I'd like to take the opportunity to thank officers for the work they do on a daily basis against a continuing backdrop of uncertainty and further cuts in Council budgets.

Cllr Wendy Wild, Deputy Leader and Cabinet Member for Adult Social Care

Revenue Budget (Forecast)

	£000
Cash Limit	69,781
Outturn	70,560
(Surplus)/Deficit	779

Capital Programme

	£000
2018/19 Capital Budget	1,236
2019/20 Capital Budget	620
2020/21 Capital Budget	0

1. ADULT SOCIAL CARE PORTFOLIO DELIVERING OUR PRIORITIES



Priority 1 - Integrating health and social care







Priority 8 – Reforming and integrating our services

Highlights and Exceptions from Q3

- Publication of the **Social Care Green Paper** continues to be delayed, with the latest statement suggesting it will be published in early 2019, although parliamentary timescales are likely to be dictated by the Brexit process.
- **Weekend working** - A 6 month pilot on weekend working in Neighbourhoods is being undertaken from October 2018 to March 2019. An early review was undertaken with trade unions in November around the change from 6 to 7 day working, with arrangements for further evaluation in place at the end of the pilot period.
- **ASC Triage and Assessment Team** – the service and capacity within the Contact Centre have been expanded, providing a full time 'front door'. The Stockport Triage and Assessment Team (STAT) provides an additional layer of triage and response, also representing ASC at daily multi-agency risk meetings. Since July, over 150 referrals have been received and assessed, with only 10% requiring care packages. STAT is working closely to with The Prevention Alliance, Back Home Service and Mental Health Services to improve pathways and, where appropriate, enhance the STAT offer to include key workers from these services.
- **LiquidLogic** - A comprehensive programme team is working to implement the new integrated case management system for adults and children's social care, ready to go live by the end of March. The focus is now on rigorous testing of the data migration and early help module, including user testing. Further work will support the finance element of the project, setting up payments across both ASC and Stockport Family. The CM2000 electronic call monitoring system for care home providers is also being re-commissioned and integrated with LiquidLogic, with a 'go live' date of 1st April.
- Members of the **Health & Wellbeing Board**, supported by the Local Government Association's Care & Health Improvement Programme, met a number of times to review its terms of reference and operation. With the agreement of all partners, the Council formally changed the Board's membership increase representation from the Cabinet, as well as widening the range of NHS bodies participating on the Board. The next phase of the Board's development will focus on developing short- and medium-term priorities.

Measuring Performance and Reporting Progress



PI Status - Key	
	2018/19 actual / forecast is significantly below target
	2018/19 actual / forecast is below target but within acceptable tolerance range
	2018/19 actual / forecast is on or above target or within target range

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASCOF 2D	Proportion of people accessing short-term services that no longer require long-term packages of care (<i>new name for outcome of short-term services</i>)	High	85.2%	85.9%	Aim to maintain	
ASCOF 2C(2)	Number of people whose delayed discharge from hospital is attributed to adult social care (BCF Delayed transfers of care measure)	Low	26.6	16.8	18.3	
ASCOF 2C(1)	Average number of people whose discharge from hospital is delayed per day (BCF Delayed transfers of care measure)	Low	34	28.6	27 (BCF Target)	
Performance in reducing DToC continues to be positive, based on the latest validated weekly data from hospitals to the end of October, ahead of the key period for pressures around Christmas and New Year. Around two-thirds of Stockport's cases are attributable to social care, compared to around one third across GM, although this is likely to be due to inconsistencies in recording.						
ASCOF 1C.1a	The proportion of adults supported in the community who benefit from personalised care and support (%)	High	96.7%	95.8%	Aim to maximise	
The numbers supported increased slightly during Q3, but remain below the figure for 2017/18.						
ASCOF 2A.2	Permanent admissions of Older People (aged 65+) to residential and nursing care homes, per 100,000 population (Better Care Fund measure)	Low	624.7	544.2	557 (BCF Target)	
The latest full year forecast is 725.6, a further increase since Q2 reflecting conversion of placements along with continuing demand pressures. A further 210 admissions per 100,000 were recorded during Q3.						
ASCOF 2B.1	Proportion of older people (65 and over) who were still at home 91 days after discharge from hospital (Better Care Fund measure)	High	96.1%	90.1% (549)	Aim to maintain	
Decrease of around 3% over Q3, but relatively small numbers involved. The difference in rate between this year and last (6%) is equivalent to 37 fewer individuals still being at home 91 days after discharge.						

Priority 2 - Redesigning our mental health services**Highlights and Exceptions from Q3**

- **Mental Health Contracts** - The Enablement and Recovery contract and the Prevention and Personalisation Contracts are currently out to tender. Interviews are expected to take place shortly for both contracts, with these expected to commence in April 2019.
- **Care Act Training** - all social workers and CPNs in working age and older peoples MH teams, who are involved in support planning, undertook this training in Q3. Changes were made in December to the Care Programme Approach documentation which ensures that Care Act compliant assessments are undertaken, this will also enable more consistent reporting on the number of Care Act assessments undertaken. The role of Care Act advocacy and the need to generate indicative personal budgets for those with Care Act eligible needs has also been re-emphasised to the teams. The budget calculator which is being used is the same as the one used by the neighbourhood teams, with follow-up visits planned to address any implementation issues.
- The Council is continuing to work with NHS Stockport CCG and partners to implement Stockport's **Multi-Agency Dementia Strategy**. This includes support for Dementia United - a 5 year programme to improve the lived experience of people living with dementia in GM. During Q3 there has been a particular focus on improving nutrition and hydration for people living with dementia through improved training and awareness raising and specific initiatives such as the GM paper weight arm band. A stakeholder group comprising representatives from key partner agencies has been established to continue to support the Dementia Drop-ins and the volunteers who run them. This includes building wider links to make local communities more dementia-friendly, for example by linking up local schools and care homes, supporting the dementia choir and dementia café, and working with local supermarkets to establish a 'quiet hour' for people with dementia or autism.

Measuring Performance and Reporting Progress

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASCOF 1F	Proportion of adults in contact with secondary mental health services in paid employment	High	6.1%	6.3%* (38)	Aim to maximise	
ASCOF 1H	Proportion of adults in contact with secondary mental health services living independently with or without support	High	85.6%	86.1%* (526)	Aim to maximise	



* data as at end of October 2018

Priority 3 - Learning Disability

Highlights and Exceptions from Q3

- **GM LD strategy** – Following the ‘Learning Disability 100 day challenge’ awareness is being raised across a number of issues, notably around health and how to reduce isolation. Each main provider was asked to produce an action plan and to identify what could be done immediately to improve the lives of people they support. The next stage of the strategy is to look at Stockport’s long-term action plan with the Valuing People partnership group and other groups, considering what action is required to achieve the ambition of the strategy. GM is working on improving specialist supported employment for people with learning disability or mental health issues across the area with funding from ESF and Transforming Care.
- A Local Area Inspection of Stockport’s services for children and young people (0-25) with **Special Educational Needs and Disabilities (SEND)** was undertaken by Ofsted and the Care Quality Commission (CQC) in September. This focused on how effectively their needs were being met locally alongside progress in implementing reforms since 2014. The final report was published in November, and a number of feedback events were held for parents and carers. Whilst critical of a number of areas, the feedback was welcomed, with the inspection team reflecting the transformational journey in Stockport and acknowledging that many improvements had already been made. The Council and CCG are putting further plans in place to address the areas identified for improvement, working in partnership with families and carers.

Measuring Performance and Reporting Progress

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASCOF 1E	Proportion of adults with a learning disability in paid employment	High	10.6%	9.6% (73)	Aim to maximise	
Slight reduction since Q2 but based on a small cohort of 750. Performance remains well ahead of GM LD Strategy target of 7%.						
ASCOF 1G	Proportion of adults with a learning disability who live in their own home or with their family	High	92.9%	92.4 (703)	Aim to maximise	
* data as at end of October 2018						

Priority 4 - Developing an adult autism pathway

Highlights and Exceptions from Q3




- **GM Self-Assessment Framework** – this has been agreed and submitted to Public Health England. Awaiting publication and next direction from government.
- An **Adult Autism Diagnostic Pathway** is due to be launched by Stockport CCG in January, with all roles expected to be filled by February. Staff development and training is underway, along with introduction to all relevant Stockport agencies.

Priority 5 - Strengthening and reviewing the way we protect vulnerable adults at risk

Highlights and Exceptions from Q3

- The **Safeguarding Adults Board** Annual report was considered by Scrutiny in October. This outlined key issues and challenges for safeguarding, alongside key improvements and achievements in delivering the shared priorities and objectives of the board.
- Deprivation of Liberty Safeguards** - The current backlog regarding DoLS is those triaged as low 796, medium 40 and high 188. A number of changes have been made to reduce this backlog, including new measures to expand the Best Interest Assessor (BIA) rota, appointment of two FTE BIAs, along with further training and support to expand the signatory pool. With these measures in place, it is predicted that 25-30 assessments per week can be carried out rather than around 14 prior to the changes.

Measuring Performance and Reporting Progress

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASC 5.1	Domestic abuse: re-victimisation rates	Low	N/A	30	Aim to minimise	
From 2018/19, this indicator measures the number of repeat victims where five or more domestic abuse crimes have been recorded in the last two years, with the latest incident in the last quarter. The latest data available from GMP covers the three months to October and shows a further increase from the last period (27), including five women experiencing ten or more DA crimes since November 2016. There were also 39 perpetrators committing DA crimes in the last 3 months who have been involved in five or more crimes over the last two years. Daily multiagency Risk Meetings are held where domestic abuse cases are discussed. Where incidents have been reported in the last 24hrs, actions are tasked to the appropriate agencies.						
ASC 5.2a	Total number of DoLS referrals awaiting assessment	Contextual	969	1,066	N/A	N/A
ASC 5.2b	Number of DoLS referrals awaiting assessment triaged as 'High' or 'Medium' priority	Low	107	225	Aim to minimise	
Outstanding referrals continue to increase from the 2017/18 figure, but have reduced in the last two quarters and are expected to stabilise by year end (see above update).						
ASC 5.3	Safeguarding outcomes - Percentage of safeguarding cases where the risk has been reduced or removed	High	76.7% (99)	79.1% (76)	Aim to maximise	
Out-turn for 2017/18 has been revised. Figures improving, with smaller numbers, although a slight reduction during Q3 from figure at end of September.						

Priority 6 - Developing the social care market

Highlights and Exceptions from Q3





- Digital Innovation Award** - ASC were awarded £67k in November from the NHS Social Care Digital Innovation programme for a project using technology to support vulnerable people in their own homes, reducing the need for other more costly care services. This follows on from the initial 'discovery' process identifying ways residents lives can be improved through the

new Technology Enabled Care service. After an intense set-up period in December, the new kit and other changes will be introduced to practitioners and clients in early 2019.

- **Adult Social Care Innovation Award** – These awards were made following an application process in December 2018 and has been made in recognition of the valuable contribution our Residential and Nursing Care providers make to support the needs of people in Stockport. This fund has been awarded to those homes that have demonstrated a commitment to working in partnership with the Council to further improve the quality of its services and to support the pressures that are being faced within the health and care system. As a result of these awards over £430,000 has been allocated to Care Home providers across Stockport.
- **Training for care home staff** - work is continuing to develop a whole system approach to staff development across all health and social care settings. A specification is under development for an academy and to identify specific deliverables. A complimentary piece of work with Manchester Metropolitan University to develop an education and training programme for care homes is also underway, with potential for Stockport to be a pilot area. In addition, the EQUIP team have continued to deliver a wide variety of awareness sessions to care home staff on React to Red (pressure area care), Moving and Handling, Harm Level Awareness, Mental Capacity Act and DoLs, Medication Management and Mouth Care.
- **Ethical Framework implementation** - As part of the Council's commitment to raising standards in social care provision, in September the Council appointed 12 providers on to the new Ethical Home Support Flexible Purchasing System. Providers receive an enhanced hourly rate for home support provided through the framework in return for evidencing their ability to provide high quality support alongside series of commitments on pay and working conditions. The Ethical Home Support Flexible Purchasing System re-opened in January for providers to apply for accreditation. It is anticipated that there will be a number of successful submissions which will result in a significant majority of the commissioned home support hours being under the Ethical Framework by the end of March.
- **Trusted Assessor role** - The Trusted Assessor project is aimed at more timely discharges from hospital and providing support for care homes in order to ensure that placements are sustainable in the period prior to review by the neighbourhood teams. Since the project went 'live' in summer 2018, there have been no readmissions to hospital where people have been placed through the Trusted Assessor role, with evaluation showing that there have been savings of 66 bed days within the first 3 months at a cost saving of £116k. More than 30 providers have signed up to the scheme, with all providing positive feedback.
- **Stockport Star Awards** – planning is well underway for the Star Awards which will take place in February to find the best of Stockport's care sector staff and care organisations. Finalists were recently announced, with a good turnout anticipated at the event.
- **Performance on nursing and residential bed capacity with high CQC rating** - Of the 66 homes in Stockport 73% are rated as outstanding or good, which places Stockport second overall across Greater Manchester on this measure. In terms of nursing bed capacity, this equates to 85.1% and 74.8% of residential bed capacity, with two new inspections since Q2. Given the high number of providers in Stockport, recent investment in quality of care has had a significant and positive impact on performance since early 2017. (*see further commentary below*).
- **Older People's Housing Strategy** - Work has been underway with a range of colleagues in Housing, Social Care and the independent and private sector to develop a long-term strategy

for all age living. A prospectus is currently being developed that articulates a vision for all age housing in Stockport, responding to an ageing population and changing expectations about how older people can, should and want to live. The main elements of this strategy aim to inform, inspire and integrate, and a multi-agency / partner workshop is planned for February to launch the prospectus and ensure that a fully joined up approach will be implemented for all ages living across the Borough.

Measuring Performance and Reporting Progress

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASC 6.1 - N1	The proportion of Nursing bed capacity in Stockport with an overall CQC rating of good or outstanding (number)	High	86% (842)	85.1% (919)	95% (100% by 2020)	
In considering the number of beds in Care Homes rather than the number of care homes within Stockport the proportion of nursing home beds rated as good or outstanding in Stockport has improved this quarter and now stands at 85.1% from 77.8% at Q2. This is short of the challenging, locally determined target of 95% but the trajectory is positive.						
ASC 6.2 - N2	The proportion of Residential bed capacity in Stockport with an overall CQC rating of good or outstanding (number)	High	55% (728)	74.8% (963)	70% (100% by 2020)	
ASC 6.3 - N3	The proportion of Home Care Agencies in Stockport with an overall CQC rating of good or outstanding (number)	High	75% (21)	86.2% (25)	85% (100% by 2020)	
ASC 6.4 - N4	Number of Home Care hours in Stockport with an overall CQC rating of good or outstanding (number)	High	N/A	97.9%	85% (100% by 2020)	

Priority 7 - Working with communities and supporting carers

Highlights and Exceptions from Q3

- In the first half of the year, **The Prevention Alliance** (TPA) engaged with 1,122 people. Of the 567 in Q2, 340 started 1:1 work. The TPA is working with increasingly complex situations, and 203 people completed their 1:1 work in the quarter, with 104 (51%) reporting that they achieved their goals, along with a further 53 reporting that they have made some positive progress.
- **Connecting Carers card** – Signpost for Carers are continuing to engage with local businesses to offer discounts to those identifying as carers and having a contingency plan in place, working with Stockport Homes to offer a 24-hour emergency contact service free of charge.
- **GM Carers Charter** – a locality meeting was held with the GMHSCP Carers lead and independent chair, attended by key health and social care commissioners and voluntary sector representatives, to look at Stockport's services for carers and how they can deliver on the recently launched GM Exemplar Framework for Carers. Plans to enhance early intervention and preventative services for carers are being considered in conjunction with wider review of social care services. The measure on direct payments to carers (ASCOF 1C.1b) is being reviewed for 2019/20 to provide a better focus on outcomes.

- The **Wellbeing and Independence Network** (WIN) services continue to provide advice, support and practical assistance to people in need of short-term assistance to live independently at home and/or engage with their local community. An increase in complexity has also been seen in referrals to the WIN, with the WIN at Home in particular dealing with a significant number of people with self-neglect and hoarding difficulties.

Measuring Performance and Reporting Progress

PI Code	PI Name	Good performance	2017/18	2018/19		
			Actual	Q3 Actual	Target	Status
ASCOF 1C.1b	The proportion of carers who receive a direct payment in their capacity as a carer	High	84.6%	100%	Aim to maximise	✓
ASC 7.1	Number of people who have accessed the TPA	High	3,340	567*	2,000 (500 per qtr)	✓
ASC 7.2	Proportion of people engaging with the TPA who fully achieved their goals	High	N/A	51%* (104)	Aim to maximise	✓

* Data relates to Q2.

Adult Social Care Compliments and Complaints

Compliments and complaints are an important source of feedback and learning for the Council, and those relating to Adult Social Care during the third quarter of 2018/19 are reported below.

Compliments

There were 10 compliments logged in Q3, compared to 16 in Q2. Eight of these related to Locality Teams, with one each for the Quality and Integrated Discharge Teams.

Amongst the positive feedback to the Locality Teams was the following comment:

"I have just spoken to Mum briefly and she has told me the wonderful news about the accommodation for my sister. I wanted to thank you so much for making this possible and for everything you have done for our family. It means the world to all of us and we are so grateful for this opportunity for X which we know will help her tremendously in her future life going forward."

Also received by the Integrated Discharge Team:

"On behalf of my brother and sister, I do want to thank you again for helping to get the place at Brabyns House. We fully realise that without your sterling efforts it may well not have happened."

Complaints

A total of 48 statutory Adult Social Care Complaints were received during the 3rd quarter. This compares to 57 received in the previous quarter and 42 in the same quarter in 2017/18. The table below provides a breakdown of complaints by service area.

Number of Stage 1 Complaints by Service Area – October to December 2018

Service Area	Q1 2018/19 (Apr – Jun)	Q2 2018/19 (Jul – Sept)	Q3 2018/19 (Oct – Dec)
Integrated Locality Teams (East and West)	13	17	20
Equipment & Adaptations	1	1	1
Intermediate Tier (Integrated Discharge Team/Crisis Response)	10	13	11

Mental Health Services	0	2	2
Learning Disability Social Work Team	1	2	3
Client Finance / Income Assessment	0	3	3
Market Development, Quality & Commissioning (Safeguarding - ASQS and Locality)	1	3	4
External ASC Providers (Care Homes)	5	7	1
External ASC Providers (Home Support)	5	7	2
Voluntary Sector Preventative Services	0	1	1
Not service specific	3	1	0
Total	39	57	48

Main issue giving rise to complaint	Q1	Q2	Q3
Quality of care	11	17	7
Staff attitude / levels / behaviour	8	7	10
Assessment Procedures/Process	4	9	15
Delays/Funding/Fees	16	24	15
Lack of Services	0	0	1
Total	39	57	48

The main driver for complaints continues to be directly or indirectly around charging, although complaints around staff actions and decisions in relation to assessments are also showing signs of increasing. There were a higher proportion of complaints recorded for the West Area Locality Teams, notably Bramhall and Cheadle & Gatley, but a significant reduction in complaints regarding quality of care.

Learning from the past quarter

Between October and December 2018, 47 cases were closed or resolved. 40 cases involved Adult Social Care, three involved home support providers, three residential / nursing care providers and one involved health services. The outcome from these was as follows;

- Not upheld - 22
- Partially upheld - 6
- Upheld - 7
- Not progressed - 12

Key trends and issues around complaints are considered on a regular basis by senior management within Adult Social Care, with learning points identified and communicated. From these cases a number of learning points were observed, including;

- Ensuring that notifications of increases in charges are sent to all family members.
- Offering care home staff training in managing challenging clients.
- Housing younger adults in age appropriate accommodation.
- Reminding care home staff and visitors around local parking restrictions.

2. ADULT SOCIAL CARE PORTFOLIO FINANCIAL RESOURCES AND MONITORING



2.1 Revenue – Cash limit

	Previously Reported (PPRR Q2) £000's	Increase (Reduction) £000's	Budget at Q3 £000's
Cash Limit	69,553	228	69,781

The Portfolio cash limit budget has increased by £0.228m since the PPRR Q2 report. This is due to the following changes:

Description	Movement(s) £000's
Additional investment into Learning Disabilities (LD) to support increase in demand and additional client complexity	225
Additional Pay Award (2%) for staffing establishments (Enhanced Case Management – Neighbourhoods)	12
Subtotal	237
ASC civil resilience funding transfer to CSS	(9)
Total	228

All pre-approved corporate funding for Adult Social Care in 2018/19 has now been aligned to the service.

Quarter 3 Analysis

The financial resources deployed in this Portfolio total £69.781m. The outturn position is a deficit of £0.779m; this equates 1.12% variance in terms of the total resources available.

Service	Current Budget £000	Forecast Outturn Q3 £000	Total Variation Q3 £000	Variation Reported Q2 £000
Integrated Neighbourhoods	30,872	31,460	588	270
Intermediate Tier	2,407	2,230	(177)	(12)
Boroughwide Services	1,239	1,266	27	(46)
Learning Disability	30,106	30,666	560	(9)
Mental Health	6,520	6,385	(135)	(61)
Strategy and Performance	(4,917)	(4,992)	(75)	86
Prevention	2,068	2,161	93	93
Safeguarding	946	910	(36)	15
Stockport Local Assistance Scheme (SLAS)	491	474	(17)	(35)
Other - Support to vulnerable adults	49	0	(49)	(49)
Total	69,781	70,560	779	252

Adult Social Care Portfolio Deficit £0.779m**Integrated Neighbourhood Services (INS) Deficit £0.588m**

There continues to be significant financial pressures within Residential and Nursing Care services, including those anticipated over the winter period, due to:

- Net increase in demand for permanent and short term placements.
- Continuing to pay enhanced rates to secure local permanent and short term beds, at an average weekly cost rate significantly higher than for clients leaving bed based services.

In anticipation of further demand from winter planning aligned to avoidance of hospital admission and support timely discharging back into the community, additional care management commitments have been included within the Q3 forecast.

The forecasted deficit within residential and nursing care taking into consideration client income, deferred charging and Continuing Healthcare (CHC) contributions is £1.871m.

There are a number of sources of funding totalling £1.250m, predominantly from approved iBCF allocations, which is proposed will be utilised to part offset this significant outturn deficit.

A further care management contribution of £0.128m is mainly due to over achievement of Non Residential care income. This is part offset by an overspend of £0.061m which is forecasted within Extra Care Housing due to an enhanced service currently being provided, with the balance of £0.034m predominantly due to a minor overspend on staffing.

Variance from Q2

The deficit has increased by £0.318m from the position reported at Q2. An additional £0.844m is due to Residential & Nursing Care inclusive of short term commitments anticipated over winter. This is part offset by £0.750m predominantly due to additional iBCF contributions. There has been a £0.130m increase within non residential care expenditure predominantly due to an increase of clients in receipt of direct payments. The balance of £0.094m is mainly aligned to extra costs from increased Extra Care Housing provision.

Learning Disabilities Deficit £0.560m

The service continues to experience significant financial pressures from additional demand and increased complexity of clients impacting on the forecasted care management outturn position of £0.709m. This outturn position is after the remaining corporate contingency of £0.225m has been aligned to the service during Q3.

This deficit is partially offset by a £0.105m forecasted underspend aligned to internal tenancy provision, this is due to a relatively high ratio of internal bureau staff currently employed. The balance of £0.044m is due to other minor surpluses within staffing and non pay.

Variance from Q2

An increased variance of £0.569m is reported at Q3 compared to the Q2 position. £0.563m of this variance is due to the challenges highlighted above with regards to increased demand and complexity of individuals. The balance of £0.006m is due to staffing variations.

Other Services Surplus of £0.369m

Other services include; Intermediate Tier, Boroughwide Services, Mental Health, Strategy & Performance, Prevention, Safeguarding, SLAS, Other Support to Vulnerable Adults.

The forecasted surplus of £0.177m within Intermediate Tier is due to part year staffing vacancies within the service. The case for change – skill mix business case has now been implemented with revised staffing establishments aligned to the business case proposals. As with all posts aligned to the investment from Stockport Neighbourhood Care commitments and income are recharged based on actuals.

Mental Health care management services are forecasting an underspend of £0.160m due to a minor reduction in demand from what was initially anticipated. The balance of £0.032m is due to other in year staffing vacancies.

Variance from Q2

An increased surplus of £0.360m is reported at Q3 from the Q2 position. This is due to in year staffing vacancies within Intermediate Tier and Strategy & Performance and a further reduction in the savings balance to be achieved.

Proposed additional funding allocations for 2019/20

The Government's autumn 2018 budget announcement included provisional additional allocations in 2019/20 for Social Care, these included:

- **£1.283m** – Adult Social Care (ASC) Winter Pressures Grant 2019/20 being rolled up into the improved Better Care Fund allocation. This is in addition to the £1.283m received in 2018/19. The anticipated non recurrent funding is to further support community capacity over the 2019/20 winter period to alleviate NHS winter pressures. This is with the intention to secure reduced community bed rates as funding is continued from 2018/19.
- **£2.192m** – Additional funding for social care. There is no defined split between ASC and Children's Social Care, however where necessary Local Authorities should use this anticipated non recurrent funding to ensure ASC pressures do not create additional demand on the NHS.

2.2 Transfers from Earmarked Reserves

Reserve Category	Reserve Narration	To be used for	Balance of Reserve / "Approved Use" £000	Planned use of Reserves / "Approved Use" £000	Balance of Reserve / "Approved Use" £000
Directorate Reserves					
Directorate Reserve	Directorate Flexibility Reserve – People	Additional Learning Disability transition workers and 2 ESA Advisors	49	47	2
Corporate Reserves					
Budget Resilience Reserve	Adults Reserve	Learning Disability Sleep ins; increased hourly rates	24	0	24

Budget Resilience Reserve	Adults Reserve	Saving target previously aligned to Hospital Social Work Team*	258	258	0
Strategic Priority Reserve	Health and Social Care Integration Reserve	Investment into ASC / Stockport Together work streams including double running of services.	1,191	1,191	0
Strategic Priority Reserve	Health and Social Care Integration Reserve	Adult Social Care balances (iBCF)	3,859	3,859	0
Reserve Linked to Budget	Transformation - Invest to Save Reserve	Implementation of the Liquid Logic System across Children's and Adults	662	662	0
Reserve Linked to Budget	Transformation – Double Running reserve	To fund delay in implementation of charging policy	506	506	0
Reserve Linked to Budget	Transformation – Double Running reserve	To fund delay in implementation of charging policy 19/20	367	0	367
Corporate Reserve	Third Party Reserve	Locality investment into Stockport Together **	3,646	3,646	0
Corporate Reserve	Revenue Grant	NESTA Co Production and Hate Crime	33	10	23
Corporate Reserve	Revenue Grant	European Funded Schemes	213	0	213
Total			10,808	10,179	629

* £0.258m saving target funded from reserves in 2018/19. It is proposed that from 2019/20 this is funded recurrently from corporate resources. Final approval will form part of the 2019/20 budget submission to full Council on 21 February.

**Aligned to Stockport Together investment, locality funding held within Council reserves.

The majority of Earmarked Reserves are kept at a corporate level and services bid for the use of them. This strategic approach is designed to provide financial resilience for the Council. The exceptions to this are the Directorate reserves and ring-fenced reserves.

Listed above are the reserve transfers proposed against the Corporate and Directorate reserves for Adult Social Care in 2018/19. Requests of more minor transfers have been consolidated within the table where appropriate.

2.3 Portfolio Savings Programme

Proposal	Risk Rating	Value £000	Value Achieved £000	Additional Information
Strategic Commissioning (CCG / ST).	Amber	262	227	Slippage on proposed staffing changes.
Community Development & Capacity.	Amber	100	67	Slippage on proposed staffing changes.
Contractual Review (Non-Statutory Services).	Amber	321	262	Slippage due to contract negotiation dates.
Total		683	556	£0.127m – balance to identify

For 2018/19 the savings requirement consisted of inbuilt financial pressures for the Adult Social Care and Health portfolio and a cash limit reduction aligned to the entirety of the pooled budget.

The inbuilt financial saving aligned to ASC was £1.823m, with the cash limit budget reduction for the pooled budget £2.695m (which includes a £0.954m contribution from Public Health), an overall saving requirement of **£4.518m**. The table above illustrates only those savings totalling **£0.127m**, which are not currently anticipated to be fully achieved in 2018/19, a proportion of this savings balance of £0.127m is purely due to phasing and will be achieved in 2019/20.

Within ASC is a further saving target aligned to Intermediate Tier of £1.500m which was created in 2015/16 and has since been funded non recurrently predominantly from reserves including 2018/19. However, it is proposed that from 2019/20 this is funded recurrently from corporate resources. Final approval will form part of the 2019/20 budget submission to Council on 21 Feb.

A further £3.000m cash limit reduction to the service in 2018/19, which is being funded non-recurrently via a contribution from the improved Better Care Fund (iBCF). From 2019/20 the £3.000m reduction will be returned to the Council base position as part of the Council's 2019/20 savings proposals.

2.4 Pooled Budget

Portfolio	Current Budget £000	Forecast Outturn Q3 £000	Total Variation for Q3 £000	Variation Reported at HCICB for Q2 £000
Adult Social Care	69,679	70,435	756	233
Health	16,035	16,013	(22)	(26)
Total	85,714	86,448	734	207

Note: HCICB – Health and Care Integrated Commissioning Board

The s75 pooled budget with Stockport CCG incorporating services which can be pooled within the Adults Social Care and Health portfolio has a Council baseline resource of £85.714m at Q3.

The Q3 outturn forecast is a £0.734m deficit. An update will be provided to the next Health & Care Integrated Commissioning Board (HCICB) on 5th February. The total pooled budget with Stockport CCG incorporating the £85.714m discussed above continues to be circa £200m.

2.5 Capital Programme

Expenditure 31 Dec 2018 £000	Scheme	2018/19 Programme £000	2019/20 Programme £000	2020/21 Programme £000
0	Case Management System	913	0	0
0	Residential Care Sector Support	0	49	0
217	Baker Street Redevelopment	305	0	0
17	Whitehill Day Centre	18	0	0
0	Grant allocations - remaining balance	0	571	0
234	TOTAL	1,236	620	0

2.6 Capital Finance Update and Outlook

Resources	2018/19 £000	2019/20 £000	2020/21 £000
Capital Grants	566	620	0
External Contributions	305	0	0
Revenue Contributions (RCCO)	365	0	0
TOTAL	1,236	620	0

Programme Amendments – Rephasing

Scheme	2018/19 £000	2019/20 £000	2020/21 £000	Funding Source	Reason
Residential Care Sector Support	(49)	49	0	Capital Grants	Rephase to 19/20
Grant allocation/other	(571)	571	0	Capital Grants	Rephase to 19/20
Case Management System	365	0	0	RCCO	Increase in Programme
TOTAL	(255)	620	0		

- **Case Management System** – Scheme to implement a replacement for the case management system CareFirst. The selected replacement system is Liquid Logic. As reported in Quarter 2 the total projected outturn for the project is £1.599m including revenue costs in terms of staffing. The programme has been increased by £0.365m (RCCO) to reflect the anticipated capital expenditure in 2018/19 of £0.913m.
- **Residential Care Sector Support** – Capital allocation allocated to residential care providers to support adaptations in care homes. The remaining allocation of £0.049m has been rephased to 2019/20.
- **Baker Street Redevelopment** - The programme of works have been completed with any remaining charges for retainer and management fees being finalised. Discussions are ongoing with Pennine Care with regards to their contribution to the scheme development.
- **Whitehill Day Centre** – Scheme to increase security at the Day Centre by installing fencing. Programme now complete and delivered within budget.
- **Department of Health Capital Grant Allocations** – Annual Capital Grants from the Department of Health that have been brought forward from previous years. Remaining allocation of £0.571m has been rephased to 2019/20.
- **Disabled Facilities Grant (DFG) (Communities & Housing Portfolio)** – Capital grant administered through the Better Care Fund (BCF) to provide adaptations to clients' homes to meet assessed needs. It is forecast that £1.967m will be spent by the end of 2018/19 - £0.577m on Care Act eligible clients who do not meet the DFG guidelines with the remaining £1.410 on clients who qualify for mandatory DFG funding.